

F_1 consisted of a single pure isomer 1_1 .

Equilibrium Mixture of 1. In a 25-mL flask was dissolved 11.6 mg of 1_2 in 1 mL of CH_2Cl_2 and brought to 25 mL with *o*-dichlorobenzene.¹⁵ This solution was transferred into an open reacting tube and placed in an oil bath thermostated at 393 K. After several reaction times, every 15–30 min at the beginning and every 40–60 min later on, aliquots of 0.5-mL were removed, quenched to room temperature, and analyzed by HPLC in the conditions of the preparative separation. After 5.5 h the peak areas of all isomers remained constant within experimental error, indicating that equilibrium was reached. After 7.5 h the remaining reaction mixture was quenched. The solvent was removed at 1 mmHg and at room temperature on a rotavapor. The solid residue was dissolved in 0.5 mL of CD_2Cl_2 ; the methoxy region of the ^1H NMR spectrum of this solution is shown in Figure 1. An analogous experiment starting from pure 1_1 resulted in the same final mixture.

Preparative Separation of 2. The separated⁵ sample of 175 mg of **2** was prepurified by gravitational LC on silica (Woelm 63-200) using hexane/benzene (50:50 v/v) as an eluent. In a first HPLC separation with hexane/ CH_2Cl_2 (85:15 v/v) as eluent, three fractions of **2** were collected: 64 mg of F_{11}' ($k' = 1.5$), 52 mg of

F_{21}' ($k' = 1.8$), and about 2 mg of F_{31}' ($k' = 2.1$). In a second HPLC separation with hexane: CHCl_3 (97:3 v/v) fraction F_{11}' was separated into 8 mg of F_{111}' ($k' = 1.1$) and 42 mg of F_{112}' ($k' = 1.2$); no further separation of F_{21}' was detected. These four fractions exhibited identical mass spectra: as base peak the parent peak at m/e 618 with the expected isotopic distribution and no other peaks with intensities larger than 5%. From the methyl region of their ^1H NMR spectra, it was deduced that F_{111}' consisted of 2_1 , F_{112}' of 2_2 and 2_3 , F_{21}' of 2_4 – 2_6 , and F_{31}' of 2_7 .

Equilibrium Mixture of 2. In a 50-mL reaction flask was dissolved 16 mg of **2** (containing all isomers) in 10 mL of kerosene (Fluka purum), redistilled before use [bp 100–108 °C (11 mmHg)]. The flask was equipped with a water condenser, flushed with argon, and heated in a metal bath. The reaction mixture was refluxed during 44 h at 487 K. The kerosene was distilled off in a bulb-to-bulb apparatus [95–100 °C (13 mmHg)]. The solid residue was purified by TLC on silica (Merck Kieselgel 60 F_{254}) with hexane/benzene (50:50 v/v). The methyl region of the ^1H NMR spectrum of the 16 mg of recovered **2** is shown in Figure 2.

Registry No. 1, 86933-45-1; 2, 86933-46-2.

Diazafulvenones. Thermal Isomerizations and Eliminations in Alkoxy carbonyl and Anilino carbonyl Derivatives of Imidazole

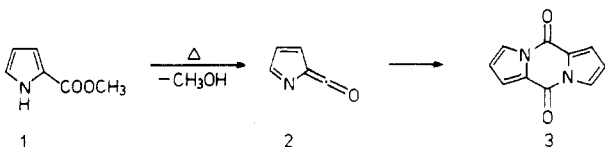
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4-Carbonyl-4*H*-imidazole (**10**) and 2-carbonyl-2*H*-imidazole (**11**) are formed by flash vacuum pyrolysis of methyl 4- and 2-imidazolecarboxylates, respectively. **10** and **11** dimerize to diketopiperazines **14** and **16**, respectively. The same products are also obtained from 4- and 2-(anilino carbonyl)imidazoles, respectively. Methyl imidazole-1-carboxylate (**4**) on pyrolysis gives a ca. 1:1 mixture of the same ketenes **10** and **11**, which dimerizes to a 1:2:1 ratio of diketopiperazines **14**–**16**. In contrast, ethyl imidazole-1-carboxylate gave CO_2 , ethylene, and imidazole as the major products. The pyrolysis reactions were monitored by low-temperature infrared and high-temperature mass spectrometry.

The formation of 2-carbonyl-2*H*-pyrrole (1-azafulven-6-one, **2**) by flash vacuum pyrolysis of pyrrole-2-carboxylic acid or its methyl ester (**1**) was reported recently.² The



ketene **2** was directly observed by IR² and mass spectrometry,³ trapped with methanol to regenerate the starting material (**1**), and isolated in the form of the dimer **3**.

We now wish to report the formation of diazafulvenones **10** and **11** on pyrolysis of the imidazole derivatives **4**, **8**, **9**, **12**, and **13**, their direct detection by IR and mass spectrometry, and their dimerization to give diketopiperazine derivatives **14**–**16**.

The pyrolysis of methyl imidazole-4-carboxylate (**8**) at 750–820 °C (10^{-4} torr) with isolation of the products on a KBr window at –196 °C resulted in the formation of two new species, absorbing at 2245 and 2150 cm^{-1} . The latter absorption disappeared on warming to –40 °C and is ascribed to 4-carbonyl-4*H*-imidazole (**10**) because in a preparative experiment (see Experimental Section) carried out at the same temperature (750 °C) the dimer **14** was isolated in 20% yield. The structure of **14** is based on the IR, ^1H NMR, mass and high-resolution mass spectra, and elemental analysis.

The same IR results were obtained on pyrolysis of the anilide **9** at 800 °C. Here, too, the dimer **14** was isolated in 20% yield after a preparative pyrolysis. Thus, the ketene **10** is formed by elimination of methanol from **8**,

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(2) (a) Gross, G.; Wentrup, C. *J. Chem. Soc., Chem. Commun.* 1982, 360. (b) Wiersum, U. E. *Recl.: J. R. Neth. Chem. Soc.* 1982, 101, 365.

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and of aniline from 9. The additional absorption at 2245 cm^{-1} , observed in both cases, disappeared on warming and is ascribed to a nitrile of unknown structure, presumably formed by opening of the imidazole ring. Ring opening of other nitrogen heterocycles, such as indole and pyrazole, is known to occur.⁴

The pyrolysis of methyl imidazole-2-carboxylate (12) at 750–820 °C also resulted in the formation of a ketene absorbing at 2150 cm^{-1} , as well as nitrile absorptions at 2280, 2245, and 2210 cm^{-1} . Again, a similar spectrum was obtained on pyrolysis of the anilide 13, and preparative pyrolyses of 12 and 13 gave the ketene dimer 16 in 20% and 10% yield, respectively (Scheme I).

We next turned our attention to methyl imidazole-1-carboxylate (4). This compound, too, gave rise to a ketene absorption at 2150 cm^{-1} , as well as nitrile absorptions at 2245 and 2210 cm^{-1} , on pyrolysis at 820 °C. A preparative pyrolysis of 4 gave a mixture of the three dimers 14, 15, and 16 (20% combined yield) in a 1:2:1 ratio. This implies that 4 decomposes to give the two ketenes 10 and 11 in a 1:1 ratio. Statistical dimerization of the ketenes leads to the observed formation of "pure" and "mixed" dimers. The first step in the pyrolysis of 4 is assumed to be a 1,5-sigmatropic shift, giving a 1:1 mixture of the 2*H*-imidazole derivatives 5 and 6. The elimination of methanol appears to be a direct process ($5 \rightarrow 10$ and $6 \rightarrow 11$), taking place faster than further 1,5-sigmatropic shifts that would convert 5 to 8 and 6 to 12, because no trace of 8 and/or 12 could be detected by ^1H NMR spectroscopy of the pyrolysates obtained from 4 at 300–720 °C. These ^1H NMR spectra did, however, demonstrate the presence of 1-methylimidazole (7), formed by an independent route.

The results described above were corroborated with mass spectrometric monitoring of the pyrolyses. The normal (70-eV electron impact) mass spectra of 4, 8 and 12 without pyrolysis (150 °C inlet temperature) are given in Table I, as are the results of pyrolysis of 4 at 400–900 °C. It is immediately seen that the three isomers give different spectra, and this is even more obvious from the MIKE (mass analyzed ion kinetic energy) spectra of the molecular ions of 4, 8, and 12 (Table II). The MIKE spectrum of 4 is characterized by loss of CO_2 to give the molecular ion of 1-methylimidazole (m/z 82) (Scheme II). The MIKE spectra of 8 and 12 are characterized by losses of $\text{CH}_3\text{O}^\cdot$ and $\text{CH}_2\text{O}^\cdot$, to give m/z 95 and 96, respectively. There is precedent for such fragmentation pathways of esters.^{5,6} Most importantly, the insensitivity of the MIKE spectrum of the molecular ion of 4 to a rise in temperature confirms that 4 does not isomerize to 8 and/or 12.

The pyrolysis mass spectra of 4 (Table I) demonstrate that, at temperatures above 400 °C, two thermal reactions set in: (i) loss of CO_2 (m/z 44) to give 1-methylimidazole (7; m/z 82) which was identified by comparison of its collision activation (CA) mass spectrum with that of authentic 7 and (ii) loss of methanol (m/z 32) to give the ketenes 10 and 11 (m/z 94). The latter dimerize, in part, already in the gas phase prior to ionization, as attested by the observation of a weak signal for the dimers at m/z 188. Ketene formation reaches a maximum at a pyrolysis temperature of ca. 800 °C.

Also ethyl imidazole-1-carboxylate (17) was examined by pyrolysis-mass spectrometry (Table III). Here, the

Table I. Mass Spectral Data of 4, 8, and 12 at Various Temperatures

compd	T, °C	relative abundance at m/z																									
		188	126	96	95	94	82	81	68	67	66	59	55	54	44	42	41	40	39	38	32	31	29	28	27	26	
4	150		100		15		22	29	15	8	4	55	29	27	8	33	22	78	15	7		4	11	22	9		
	400		100		13		33	27	15	8	4	46	29	31	26	35	26	100	21	11		4	16	41	13	11	
	600	0.7	100		14	11	40	30	17	18	7	44	27	29	29	32	24	91	28	13	11	16	25	48	16	13	
	800	1.5	3		7	65	63	21	12	99	40	6	12	37	100	28	24	36	96	48	41	57	50	78	38	27	
	900	0.7			3	43	39	8	8	65	29	3	8	24	100	18	22	24	65	35	33	48	38	60	43	23	
8	150		65	11	100	11	5	5	62	36	5	4	5	4	4	7	33	55	15	8	4	6	10	23	7	4	
12	150		28	26	41	10	6	15	100	20	6	15	11	15	8	17	35	52	22	11	4	6	21	40	16	7	

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spectrometer⁹ operating at an accelerating voltage of 8 kV. Electron ionization was carried out at 70 eV at a current of 100–500 μ A. Other details have been described.¹⁰ The preparative pyrolysis apparatus consisted of an electrically heated tubular oven, employing a 25 \times 2 cm quartz tube, pumped at ca. 10^{-3} torr. In this case, the products were isolated in liquid N₂ traps. Melting points are uncorrected.

Diimidazo[3,4-*a*:3',4'-*d*]pyrazine-5,10-dione (14). 5-(Anilino-carbonyl)imidazole (9) (250 mg) was sublimed at 130 °C and pyrolyzed at 800 °C (10^{-3} torr) in the course of 3 h. A yellow solid (20% yield) condensed at the exit of the oven, in the air-cooled part of the cold trap, and was identified as 14 on the basis of the following data: mp 250–253 °C (from dimethoxyethane) (lit.¹¹ mp 254–255 °C); IR (KBr) 3080 (m), 1730 (s), 1550 (s), 1450 (m), 1360 (s), 1320 (w), 1270 (s), 1210 (s), 1145 (w), 1100 (m), 1090 (m), 1015 (w), 880 (m), 730 (m), 630 (m) cm^{-1} ; ¹H NMR ((CD₃)₂SO) δ 8.87 (d, *J* = 0.7 Hz, 2 H), 8.23 (d, *J* = 0.7 Hz, 2 H); mass spectrum, *m/z* (relative intensity) 188 (*M*⁺, 100), 160 (15), 95 (40), 94 (41), 93 (57), 68 (60); high-resolution mass spectrum, *M*⁺. 188.0336 (calcd for C₈H₄N₄O₂ 188.0334), 189.0339 (calcd for ¹²C₇¹³C₁H₄N₄O₂ 189.0311). Anal. Calcd for C₈H₄N₄O₂: C, 51.07; H, 2.14; N, 29.78. Found: C, 51.03; H, 2.13; N, 29.49.

The same compound was obtained in 20% yield on pyrolysis of methyl imidazole-4-carboxylate (8) at 750 °C and identified as above.

Diimidazo[3,2-*a*:3',2'-*d*]pyrazine-5,10-dione (16). Methyl imidazole-2-carboxylate (12) (50 mg) was sublimed at 100 °C and pyrolyzed at 750 °C in the course of 3 h. The yellow solid (20% yield) deposited in the air-cooled part of the cold trap was identified as 16 on the basis of the following data: mp 265–268 °C (from dimethoxyethane); IR (KBr) 3120 (m), 1740 (s), 1520

(m), 1445 (s), 1390 (s), 1330 (w), 1275 (s), 1160 (m), 1060 (m), 1020 (m), 800 (m), 750 (w), 700 (w), 650 (m) cm^{-1} ; ¹H NMR ((CD₃)₂SO) δ 8.14 (d, *J* = 1.5 Hz, 2 H), 7.50 (d, *J* = 1.5 Hz, 2 H); mass spectrum, *m/z* (relative intensity) 188 (*M*⁺, 8), 94 (55), 68 (100); high-resolution mass spectrum, *M*⁺. 188.0332 (calcd for C₈H₄N₄O₂ 188.0334).

The same compound was obtained in 10% yield on pyrolysis of 2-(anilino-carbonyl)imidazole (13) at 700 °C and identified as above. In addition, *N,N'*-diphenylurea was isolated from the cold trap in 46% yield. No dimer 16 was isolable after pyrolysis of 13 at 800 °C; instead, the majority of the material polymerized at the exit of the pyrolysis tube.

Pyrolysis of Methyl Imidazole-1-carboxylate (4). (a) This was carried out at 750 °C (10^{-3} torr). The yellow substance condensing in the air cooled part of the cold trap was identified as a 1:2:1 mixture (20% yield) of 14, 15, and 16 by comparison of the IR and ¹H NMR spectra with those of 14 and 16 described above. Diimidazo[3,2-*a*:3',4'-*d*]pyrazine-5,10-dione (15) had the following ¹H NMR spectrum: ((CD₃)₂SO) δ 8.90 (d, *J* = 0.7 Hz, 1 H), 8.20 (d, *J* = 0.7 Hz, 1 H), 8.13 (d, *J* = 1.5 Hz, 1 H), 7.54 (d, *J* = 1.5 Hz, 1 H). The dimers were virtually insoluble in all ordinary solvents of low and medium polarity and did not elute on thin-layer chromatography except when highly polar solvents (CH₃OH) were used. Therefore, a chromatographic separation of the dimers was not feasible.

(b) 4 was pyrolyzed at various temperatures between 300 and 720 °C. The IR and NMR spectra of the pyrolysate obtained at 630 °C demonstrated the presence of the starting material as well as 1-methylimidazole (7). The abovementioned mixture of dimers was obtained in 10% yield at 700 °C. At this temperature 4 was still detectable, but in none of these experiments was 8 or 12 detectable by ¹H NMR.

Registry No. 4, 61985-23-7; 5, 99560-58-4; 6, 99560-59-5; 7, 616-47-7; 8, 17325-26-7; 9, 13189-13-4; 10, 99560-56-2; 11, 99560-57-3; 12, 17334-09-7; 13, 63678-16-0; 14, 53525-65-8; 15, 99560-60-8; 16, 79711-73-2; ethyl imidazole-1-carboxylate, 19213-72-0.

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Nitrone Cycloaddition in the Stereoselective Synthesis of β -Carbolines from *N*-Hydroxytryptophan

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The cycloaddition chemistry of nitrone 6, obtained by treatment of *N*-hydroxytryptophan ester 4 with methyl orthoformate, has been evaluated. 1,3-Dipolar cycloadditions with alkenes proceed with high or complete regioselectivity to yield 4'- or 5'-substituted isoxazolidines (Scheme II). Complete C(3) \rightarrow C(1) trans induction as well as high or complete stereoselectivity at the other newly introduced chiral center C(4') or C(5') is observed. The regiochemical course of these reactions is as predicted by frontier molecular orbital theory.

Tryptophan derivatives having a functionality in addition to the amino and carboxy group in the α -amino acid fragment have been found as characteristic structural elements of several natural products.¹ Recently, we reported² a scheme in which *N*-hydroxytryptophan 4 links L-tryptophan to α - and/or β -functionalized tryptophan derivatives.

Here we wish to report a reaction sequence that features the conversion of *N*-hydroxytryptophan 4 into the nitrone

6 which is used in a regio- and stereoselective cycloaddition to alkenes. The utility of the resulting cycloadducts for

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(4) In some cases small amounts of 9 were formed as side product.

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